

ATMI-260-CIP-DIV  
PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Thomas H. Baum, et al.

Group Art Unit: 1762

U.S. Application No.: 10/008,980

Examiner: Brian K. Talbot

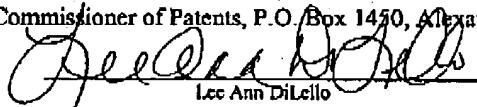
Filed: December 6, 2001

Title: METHOD OF FABRICATING IRIIDIUM-BASED MATERIALS AND STRUCTURES  
ON SUBSTRATESRECEIVED  
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MAR 26 2004

CERTIFICATE OF FACSIMILE

I hereby certify that this paper or fee is being deposited pursuant to 37 CFR 1.6(d)  
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Lee Ann DiLello

March 26, 2004

Date

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☐ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required in accordance with 37 CFR § 1.56 and § 1.97(b).

- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of such item by a foreign patent office in a counterpart foreign application, which occurred no more than three months prior to filing the Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ c. Attached is our check no. \_\_\_\_ in the amount of \$180 in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. 50-0860 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☒ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Please charge deposit account no. 50-0860 in the amount of \$180 in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. 50-0860 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of such item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to filing the Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☒ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ 4. (other):

Applicant does not believe that any additional fee is due in connection with the foregoing. However, any deficiencies may be charged to the deposit account 50-0860.

Respectfully submitted,



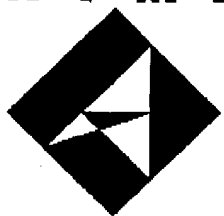
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Date: March 26, 2004  
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FORM PTO-1449		US Dept. of Commerce Patent and Trademark Office		ATTORNEY DOCKET NO. 2771-260 CIP DIV (7482)		SERIAL NO. 10/008,980	
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)				APPLICANT BAUM, Thomas H. et al.			
				FILING DATE December 6, 2001		GROUP 1762	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	5,874,364	02/23/1999	Nakabayashi et al.			03/26/1996
	BB	6,271,077	08/07/2001	Nakabayashi et al.			11/04/1999
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<b>OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)</b>							
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

\* All the foreign patents and publications that are not written in English language are accompanied by their respective English abstracts, which constitute concise explanation of relevance of the non-English patents and publications that satisfy the requirements of 37 C.F.R. §1.98(a)(3)(i), according to MPEP 609 III A(3).

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## facsimile transmittance

To: Examiner Brian K. Talbot Fax: 703-872-9306  
 From: William F. Ryann Date: March 26, 2004  
 E-mail: wryann@atmi.com Pages: 6 pages (including cover sheet)  
 Re: U.S. Serial No. 10/008,980 cc:  
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## FACSIMILE TRANSMISSION CERTIFICATE

It hereby is certified by the person identified below that this paper is being facsimile transmitted by such person to the Commissioner of Patents and Trademarks on the date specified, to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, and Transmitted by Facsimile under the provisions of 37 CFR 1.6(d).

*Dee Anna DiLello*  
 Dee Anna DiLello

March 26, 2004  
 Date of Transmission

703-872-9306  
 Facsimile Number

## OFFICIAL COMMUNICATION

Request for Continued Examination (RCE) Transmittal in U.S. Serial No. 10/008,980

Please find the following documents enclosed:

Request for Continued Examination (RCE) with Request for Extension of Time (1 pg)  
 Supplemental Information Disclosure Statement (3 pgs)  
 Form PTO 1449 (1 pg)  
 Facsimile Transmission Sheet (1 pg)

Please call 203-794-1100 ext. 4179 if there are any problems with this transmittal.

ATMI, Inc.  
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 ATMI Docket: ATM-260-CIP-Div

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